



CF/002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Stuart A. Fraser et al.
Application No. : 09/553,423 Confirmation No. : 3987
Filed : April 19, 2000
For : SYSTEMS AND METHODS FOR TRADING
Group Art Unit : 3628
Examiner : Harish T. Dass

New York, New York
October 15, 2004

Hon. Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REPLY TO OFFICE ACTION

Sir:

This reply is in response to the June 18, 2004
Office Action issued in connection with the above-identified
patent application.

Amendments to the Claims are reflected in the
listing of claims which begins on page 2 of this paper.

Remarks begin on page 14 of this paper.